


[40121/00502]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Zhao  
Patent No. : 10/627,987  
Filed : July 28, 2003  
For : SUPERCONDUCTING TAPES  
Group Art Unit : 1725  
Examiner : To Be Assigned

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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| By: <br>Greg F. Kaplan (Reg. No. 45,559)             | Date: 2/6/04 |

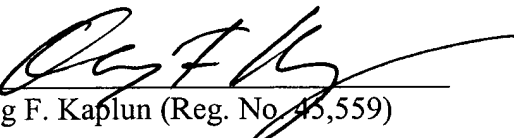
TRANSMITTAL

-SIR:

Transmitted herewith please find for filing in the above-identified patent an Information Disclosure Statement and PTO-1449 along with copies of nine (9) references. No fees are believed to be required. However, if fees are required, please charge the Deposit Account of **Fay Kaplun & Marcin, LLP No. 50-1492**. A copy of this page is included for that purpose.

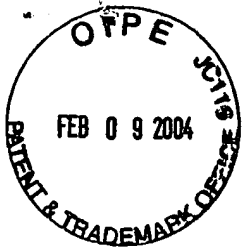
Respectfully submitted,

Dated: Feb. 6, 2004

By:   
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**INFORMATION DISCLOSURE STATEMENT**

S I R:

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicant hereby brings to the attention of the Examiner the PTO-892 that was mailed to Applicant less than three (3) months ago. The following references were cited in PTO-892 by the Examiner of the related U.S. Application Serial No. 10/617,389. The references are listed on the attached modified PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. A copy of the PTO-892 is enclosed herewith.

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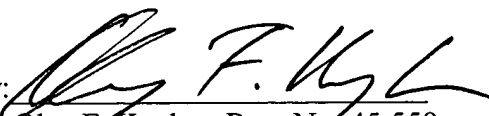


A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed.

3. By submitting this Information Disclosure Statement, Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.
4. By submitting this Information Disclosure Statement, Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).
5. By submitting this Information Disclosure Statement, Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Respectfully submitted,

Dated: *Feb. 6, 2009*

By:   
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|  |                                 |                               |
|--|---------------------------------|-------------------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><b>FORM PTO-1449</b> | ATTY. DOCKET NO.<br>40121/00502 | APPLICATION NO.<br>10/627,987 |
|  | INVENTOR(S)<br>Zhao             |                               |
|  | FILING DATE<br>July 28, 2003    |                               |

**U. S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | PATENT<br>NUMBER | PATENT<br>DATE     | NAME            | CLASS | SUBCLASS | FILING<br>DATE* |
|---------------------|------------------|--------------------|-----------------|-------|----------|-----------------|
|                     | 5,059,582        | October 22, 1991   | Chung           |       |          |                 |
|                     | 5,347,085        | September 13, 1994 | Kikuchi et al.  |       |          |                 |
|                     | 5,516,573        | May 14, 1996       | George et al.   |       |          |                 |
|                     | 6,349,226        | February 19, 2002  | Yoshino et al.  |       |          |                 |
|                     | 6,442,827        | September 3, 2002  | Herrmann et al. |       |          |                 |
|                     | 6,444,917        | September 3, 2002  | Scudiere et al. |       |          |                 |
|                     | 6,507,746        | January 14, 2003   | Kaneko          |       |          |                 |
|                     | 6,600,939        | July 29, 2003      | Zhao            |       |          |                 |
|                     | 6,642,182        | November 4, 2003   | Kaneko          |       |          |                 |

**FOREIGN PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE<br>(M/D/Y) | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|---------------------|--------------------|-----------------|---------|-------|----------|-------------|----|
|                     |                    |                 |         |       |          | YES         | NO |
|                     |                    |                 |         |       |          |             |    |
|                     |                    |                 |         |       |          |             |    |

**OTHER DOCUMENTS**

| EXAMINER<br>INITIAL |  | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. |
|---------------------|--|--|
|                     |  |  |
|                     |  |  |

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| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |

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**Notice of References Cited**

Application/Control No.

10/617,389

Applicant(s)/Patent Under

Reexamination

ZHAO, RUPENG

Examiner

Colleen P Cooke

Art Unit

1725

Page 1 of 1

**U.S. PATENT DOCUMENTS**

| * |   | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Name                 | Classification |
|---|---|--|-----------------|----------------------|----------------|
|   | A | US-6,600,939                                     | 07-2003         | Zhao, Rupeng         | 505/231        |
|   | B | US-6,444,917                                     | 09-2002         | Scudiere et al.      | 174/125.1      |
|   | C | US-5,059,582                                     | 10-1991         | Chung, Deborah D. L. | 505/230        |
|   | D | US-6,442,827                                     | 09-2002         | Herrmann et al.      | 29/599         |
|   | E | US-5,347,085                                     | 09-1994         | Kikuchi et al.       | 505/231        |
|   | F | US-6,507,746                                     | 01-2003         | Kaneko, Tetsuyuki    | 505/231        |
|   | G | US-6,642,182                                     | 11-2003         | Kaneko, Tetsuyuki    | 505/431        |
|   | H | US-5,516,573                                     | 05-1996         | George et al.        | 428/143        |
|   | I | US-6,349,226                                     | 02-2002         | Yoshino et al.       | 505/230        |
|   | J | US-  |                 |                      |                |
|   | K | US-  |                 |                      |                |
|   | L | US-  |                 |                      |                |
|   | M | US-  |                 |                      |                |

**FOREIGN PATENT DOCUMENTS**

| * |   | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Country | Name | Classification |
|---|---|--|-----------------|---------|------|----------------|
|   | N |  |                 |         |      |                |
|   | O |  |                 |         |      |                |
|   | P |  |                 |         |      |                |
|   | Q |  |                 |         |      |                |
|   | R |  |                 |         |      |                |
|   | S |  |                 |         |      |                |
|   | T |  |                 |         |      |                |

**NON-PATENT DOCUMENTS**

| * |   | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
|   | U |   |
|   | V |   |
|   | W |   |
|   | X |   |

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.